

U.S. Department of Commerce, Patent and Trademark Office		Docket No.	Serial No.
(PTO Form 1449 modified)		APPM/5083	09/842,476
LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT		Applicant Wang, et al.	Confirmation No.:
(Use several sheets if necessary)		Filing Date	Group
Examiner Unknown		April 25, 2001	Unknown

U.S. Patent Documents

*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
L.J.M.E.	A1	6,217,416	04-17-2001	Kaufman, et al.	451	41	06-26-1998
L.J.M.E.	A2	6,126,853	10-03-2000	Kaufman, et al.	252	79.1	07/11/1997
L.J.M.E.	A3	6,117,783	09-12-2000	Small, et al.	438	693	07-21-1997
L.J.M.E.	A4	6,096,652	08-01-2000	Watts, et al.	438	692	11-03-1997
L.J.M.E.	A5	6,083,840	07-04-2000	Mravic, et al.	438	693	11-25-1998
L.J.M.E.	A6	6,063,306	05-16-2000	Kaufman, et al.	252	79.4	06-26-1998
L.J.M.E.	A7	6,008,405	12-28-1999	Gray, et al.	562	3	03-12-1998
L.J.M.E.	A8	5,954,997	09-21-1999	Kaufman, et al.	252	79.1	12-09-1996
L.J.M.E.	A9	5,842,910	12-01-1998	Krywanczyk, et al.	451	41	03-10-1997
L.J.M.E.	A10	5,820,450	10-13-1998	Calhoun	451	530	05-19-1997
L.J.M.E.	A11	5,783,489	07-21-1998	Kaufman, et al.	438	692	09-24-1996
L.J.M.E.	A12	5,693,563	12-02-1997	Teong	437	190	07-15-1996

Foreign Patent Documents

*Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
							YES	NO
L.J.M.E.	B1	0 846 742 A2	06-10-1998	EP	C09G	1/02	<input type="checkbox"/>	<input type="checkbox"/>
							<input type="checkbox"/>	<input type="checkbox"/>
							<input type="checkbox"/>	<input type="checkbox"/>
							<input type="checkbox"/>	<input type="checkbox"/>

OTHER ART

*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.

Examiner *Lynette J. Wang - Gunn* Date Considered *8/11/2003*

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

TECHNOLOGY CENTER R3700

AUG 21 2001

RECEIVED

U.S. Department of Commerce, Patent and Trademark Office (PTO Form 1449 modified)		Docket No. APPM/5083	Serial No. 09/842,476
LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT AUG 17 2001		Applicant Wang, et al.	Confirmation No.:
(Use several sheets if necessary)		Filing Date April 25, 2001	Group Unknown
Examiner Unknown			

U.S. Patent Documents

*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
L.J.M.E.	A13	5,692,950	12-02-1997	Rutherford, et al.	451	552	08-08-1996
L.J.M.E.	A14	5,454,844	10-03-1995	Hibbard, et al.	51	295	10-29-1993
L.J.M.E.	A15	5,453,312	09-26-1995	Haas, et al.	428	143	10-29-1993
L.J.M.E.	A16	5,340,370	08-23-1994	Cadien, et al.	51	308	11-03-1993
L.J.M.E.	A17	5,209,816	05-11-1993	Yu, et al.	156	636	06-04-1992
L.J.M.E.	A18	5,014,468	05-14-1991	Ravipati, et al.	51	295	05-05-1989
L.J.M.E.	A19	4,789,648	12-06-1988	Chow, et al.	437	225	10-28-1985

Foreign Patent Documents

*Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
							YES	NO
							<input type="checkbox"/>	<input type="checkbox"/>
							<input type="checkbox"/>	<input type="checkbox"/>
							<input type="checkbox"/>	<input type="checkbox"/>
							<input type="checkbox"/>	<input type="checkbox"/>

OTHER ART

*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.

Examiner *Lynette J. Umeg-Eurini* Date Considered *8/11/2003*

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

RECEIVED
 AUG 21 2001
 TECHNOLOGY CENTER R3708

U.S. Department of Commerce, Patent and Trademark Office (PTO Form 1449 modified)		Docket No. APPM/5083	Serial No. 09/842,476
SUPPLEMENTAL LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT		Applicant Wang, et al.	Confirmation No.: 7917
(Use several sheets if necessary)		Filing Date 04/25/2001	Group 3723
Examiner Unknown			

U.S. Patent Documents

*Examiner Initial	Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
L.J.N.E	A1	6,435,944	Wang, et al.	451	41	10/27/1999
L.J.N.E	A2	6,361,422	Ettinger, et al.	451	339	04/11/2000
L.J.N.E	A3	6,348,076	Canaperi, et al.	51	309	12/28/1999
L.J.N.E	A4	6,347,981	Holzappel	451	56	04/30/1999
L.J.N.E	A5	6,322,427	Li, et al.	451	56	04/30/1999
L.J.N.E	A6	6,319,096	Mueller, et al.	451	41	11/15/1999
L.J.N.E	A7	6,302,766	Sethuraman, et al.	451	41	09/13/1999
L.J.N.E	A8	6,293,848	Fang, et al.	451	36	11/15/1999
L.J.N.E	A9	6,270,396	Uchiyama	451	56	07/06/1999
L.J.N.E	A10	6,270,395	Towery, et al.	451	41	09/24/1998
L.J.N.E	A11	6,251,150	Small, et al.	51	307	05/27/1999
L.J.N.E	A12	6,245,679	Cook, et al.	438	692	02/03/2000
L.J.N.E	A13	6,244,935	Birang, et al.	451	41	02/04/1999
L.J.N.E	A14	6,210,525	James, et al.	156	345	08/09/2000
L.J.N.E	A15	6,117,775	Kondo, et al.	438	690	10/30/1998
L.J.N.E	A16	6,074,949	Schonauer, et al.	438	692	11/25/1998
L.J.N.E	A17	6,068,879	Pasch	427	97	08/26/1997
L.J.N.E	A18	6,046,110	Hirabayashi, et al.	438	693	06/04/1996
L.J.N.E	A19	6,030,899	Cook, et al.	438	692	07/29/1999
L.J.N.E	A20	6,030,487	Fisher, Jr., et al.	156	345	06/19/1997
L.J.N.E	A21	5,990,010	Berman	438	691	04/08/1997
L.J.N.E	A22	5,961,372	Shendon	451	41	12/05/1995
L.J.N.E	A23	5,932,486	Cook, et al.	438	692	08/15/1997
L.J.N.E	A24	5,916,012	Pant, et al.	451	41	06/25/1997
L.J.N.E	A25	5,879,226	Robinson	451	287	05/21/1996
L.J.N.E	A26	5,866,031	Carpio, et al.	252	79.1	06/19/1996

Examiner *Lynette J. King - Examin* Date Considered *8/19/2003*

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

TECHNOLOGY CENTER R3700

S AND PUBLICAT
 JAN 06 2003
 TRADEMARK OFFICE

RECEIVED
MAR 25 2013
Group 3728
Filing Date 1/17/09
Appropriate

[illegible]

Date Considered 8/9/2003

\\CLIENTS\APPM\5000-5999\5083\PTO\IDS 1449 12192002.DOT

U.S. Department of Commerce, Patent and Trademark Office

Docket No.

Serial No.

(PTO Form 1449 modified)

APPM/5083

09/842,476

**SUPPLEMENTAL LIST OF PATENTS AND PUBLICATIONS
CITED BY APPLICANT**

Applicant

Confirmation No.:

Wang, et al.

7917

(Use several sheets if necessary)

Filing Date

Group

Examiner Unknown

04/25/2001

3723

Foreign Patent Documents

*Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
L.J.M.-E	B1	0 896 042	02/10/1999	EP	C09G	1/ 02	<input type="checkbox"/>	<input type="checkbox"/>
L.J.M.-E	B2	0 659 858	12/14/1994	EP	C09G	1/ 02	<input type="checkbox"/>	<input type="checkbox"/>
L.J.M.-E	B3	01/12739	02/22/2001	WO	C09G	1/ 02	<input type="checkbox"/>	<input type="checkbox"/>
L.J.M.-E	B4	00/00567	01/06/2000	WO	C09K	3/14	<input type="checkbox"/>	<input type="checkbox"/>
L.J.M.-E	B5	00/00561	01/06/2000	WO	C09G	1/ 02	<input type="checkbox"/>	<input type="checkbox"/>
L.J.M.-E	B6	99/61540	12/02/1999	WO	C09G	1/ 02	<input type="checkbox"/>	<input type="checkbox"/>
L.J.M.-E	B7	99/53532	10/21/1999	WO	H01L	21/00	<input type="checkbox"/>	<input type="checkbox"/>
L.J.M.-E	B8	98/49723	11/05/1998	WO	H01L	21/321	<input type="checkbox"/>	<input type="checkbox"/>
	B9						<input checked="" type="checkbox"/>	<input type="checkbox"/>
	B10						<input checked="" type="checkbox"/>	<input type="checkbox"/>
	B11						<input checked="" type="checkbox"/>	<input type="checkbox"/>
	B12						<input checked="" type="checkbox"/>	<input type="checkbox"/>
	B13						<input checked="" type="checkbox"/>	<input type="checkbox"/>
	B14						<input checked="" type="checkbox"/>	<input type="checkbox"/>
	B15						<input checked="" type="checkbox"/>	<input type="checkbox"/>
	B16						<input checked="" type="checkbox"/>	<input type="checkbox"/>
	B17						<input checked="" type="checkbox"/>	<input type="checkbox"/>
	B18						<input checked="" type="checkbox"/>	<input type="checkbox"/>
	B19						<input checked="" type="checkbox"/>	<input type="checkbox"/>
	B20						<input checked="" type="checkbox"/>	<input type="checkbox"/>
	B21						<input checked="" type="checkbox"/>	<input type="checkbox"/>
	B22						<input checked="" type="checkbox"/>	<input type="checkbox"/>
	B23						<input checked="" type="checkbox"/>	<input type="checkbox"/>
	B 24						<input checked="" type="checkbox"/>	<input type="checkbox"/>

Examiner

Date Considered

8/19/2003

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

U.S. Department of Commerce, Patent and Trademark Office

Docket No.

Serial No.

(PTO Form 1449 modified)

APPM/5083

09/842,476

**SUPPLEMENTAL LIST OF PATENTS AND PUBLICATIONS
CITED BY APPLICANT**

Applicant

Confirmation No.:

Wang, et al.

7917

(Use several sheets if necessary)

Filing Date

Group

Examiner Unknown

04/25/2001

3723

OTHER ART*Examiner
Initial

Including Author, Title, Date, Pertinent Pages, Etc.

L.T.M.E

C1

European Search Report, 10/02/2001.

L.T.M.E

C2

Austrian Search Report, 09/17/2001.

L.T.M.E

C3

Gurusamy, et al., "Method and Apparatus for Controlling a Pad Conditioning Process of a Chemical-Mechanical Polishing Apparatus" Feb. 10, 2000. USSN 09/502,560

L.T.M.E

C4

Wu, et al., "System and Method for Chemical Mechanical Planarization" May 31, 2000 USSN 09/583,512

L.T.M.E

C5

Tobin, et al., "Method and Apparatus for Controlling a Pad Conditioning Process of a Chemical-Mechanical Polishing Apparatus" March 10, 2000 USSN 09/523,363

C6

C7

C8

C9

C10

C11

C12

C13

C14

C15

C16

C17

C18

C19

C20

C21

C22

C23

Examiner

Lynette T. Uy - Errumini

Date Considered

8/19/2003

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.